<u>REMARKS/ARGUMENTS</u>

Favorable consideration of this application, as presently amended and in light of the following discussion, is respectfully requested.

Claims 1-18 are pending, with Claims 1, 6, 7, 8, 10, 11 and 12 amended and Claims 13-18 added by the present amendment.

In the Official Action, Claims 1-12 were rejected under 35 U.S.C. § 112, second paragraph; Claims 3-7 and 9-12 were rejected under 35 U.S.C. § 102(b) as being anticipated by Hitachi Koki Imaging Solutions, Inc. document entitled "The Internet Docket Controller" dated October 2000 (hereinafter <u>Hitachi</u>); and Claims 2 and 8 were rejected under 35 U.S.C. § 103(a) as being unpatentable over <u>Hitachi</u>.

Claims 1, 6, 7 and 12 are amended as requested in the Official Action, thereby overcoming the rejection under 35 U.S.C. § 112, second paragraph. Claims 1 and 7 are further amended to recite that the previously recited comparing is performed at the location which is remote from the image forming apparatus. Support for this amendment is found in Applicants' originally filed specification.[1] Claims 13-18 correspond to Claims 7-12, albeit written in non-means-plus-function format. No new matter is added.

Briefly recapitulating, amended Claim 1 is directed to a method of monitoring an image forming apparatus. The method includes: a) receiving, at a location which is remote from the image forming apparatus, first parameters representing a condition of at least one part of the image forming apparatus; b) storing the first parameters; and c) receiving, at the location which is remote from the image forming apparatus, second parameters after at least one image forming operation is executed by the image forming apparatus. The second parameters represent the condition of the at least one part of the image forming apparatus related to at least one of the first parameters. The method also includes d) comparing, <u>at the</u>

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[1] Specification, Figures 10-12 and supporting text.

location which is remote from the image forming apparatus, the received first parameters and second parameters; and e) controlling a display of the condition on a terminal that is remote from the image forming apparatus using a result of the comparing step. Applicants' claimed invention allows for improved remote control over printing resources.

Hitachi describes Internet-enabled copiers and printers which allow access to product information, device configuration, local and remote printing, finishing, service and support from a standard web browser. Hitachi further describes *i-copiers* and *i-printers* which incorporate Hitachi's Internet Document Controller™ (*i*Doc) architecture for device control and monitoring via web-based device management software. The Hitachi architecture includes printer emulations which facilitate document reproduction for users working in a variety of software or hardware environments (e.g., PCL 5e, PCL 6 and TIFF, with Adobe® PostScript® 3). The Hitachi architecture enables a single printer to offer up to 64 print services, or "virtual printers", each of which can be individually configured to address environments that do not rely on print drivers, such as Unix.

Hitachi also describes an i-billing module used to calculate usage information, apply the usage information to a service plan and print a monthly invoice. From an embedded web page a consumer and a dealer can see actual toner usage on a job-by-job basis, or on a monthly or quarterly timeframe. The *i*-copier/printer is able to adjust a monthly invoice based on actual toner usage above or below a contracted allowance. Hitachi also describes an ability to email monthly "click counts" for copy and print jobs.

Hitachi also describes an *i*-print module which provides for printing and distributing documents locally, remotely and globally via one of four printing methods. The four printing methods are called:

- **network printing** to a single print engine on a local network;
- **broadcast printing** to multiple engines of the same or different types on a network;

- **printing** to a single printer anywhere in the world, using the IPP protocol; and
- **broadcast printing** to multiple local and remote engines, over a network and/or the Internet using the IPP protocol.

Hitachi also describes bi-directional print drivers having a graphical user interface (GUI) and two-way communication with a printer or copier. The driver-integrated Printer Monitor is a Windows-based utility that displays a printer's capabilities and status so a user can know available media types and sizes and printer setup before printing. The Printer Monitor window displays the messages shown on the copier/printer's Operator Control Panel, a list of outstanding jobs, printer status that might affect a print job, such as Printer Offline or Door Open, and provides automatic event notification such as Toner Low or Paper out. Every user on the network can individually configure the Printer Monitor for the specific events they want to be notified about and the way they want to be notified – via pop-up message, beep or other sound. The Printer Monitor can tell a user when the last page of a job has printed.

Finally, Hitachi also describes an i-service module which enables service technicians, using a standard web browser, to access service documentation, remotely configure a copier/printer and run remote diagnostics for subsystem components via the Internet or a modem. Service logs for accounting data such as clock counts, events like Toner Low, and copier/printer errors, can be downloaded on demand or emailed at specified times. The Hitachi i-service module allows a remotely located technician to access a machine and make a number of electromechanical adjustments. The Hitachi i-service module allows a remotely located technician to program the copier/printer to send error reports and early warning notifications for preventative maintenance and consumables replenishment by email or page without user interaction.

However, as acknowledged in the Official Action, Hitachi does not disclose or suggest comparing first and second parameters as recited in Applicants' originally filed

independent claims. Nonetheless, the Official Action asserts that comparing first and second

parameters as recited in Applicants' originally filed independent claims is inherent in <u>Hitachi</u>

in view of a hypothetical created in the Official Action.

Applicant respectfully submits that the assertion of inherency is insufficient to show

that <u>Hitachi</u> inherently teaches the originally claimed comparing because the rejection fails to

show "that the alleged inherent characteristic <u>necessarily</u> flows from the teachings of the

applied prior art"[2] The Official Action provides inadequate rationale for this finding of

inherency. "The fact that a certain result may occur or be present in the prior art is not

sufficient to establish inherency of that result or characteristic."[3] "To establish inherency, the

extrinsic evidence 'must make clear that the missing descriptive matter is necessarily present

in the thing described in the reference, and that it would be so recognized by persons of

ordinary skill. Inherency, however, may not be established by probabilities or possibilities.

The mere fact that a certain thing may result from a given set of circumstances is not

sufficient.'"[4]

Nonetheless, to advance progress toward an allowance, independent Claims 1 and 7

are amended to recite "comparing, <u>at the location which is remote from the image forming</u>

<u>apparatus</u>, the received first parameters and second parameters." Applicants submit that the

nothing in <u>Hitachi</u> discloses or suggests "comparing, <u>at the location which is remote from the</u>

<u>image forming apparatus</u>, the received first parameters and second parameters."

Furthermore, Applicants submit the hypothetical included in the Official Action does not

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[2] See MPEP 2112 (emphasis in original) (citation omitted). See also same section stating that "[t]he fact that a certain result or characteristic <u>may</u> occur or be present in the prior art is not sufficient to establish the inherency of that result or characteristic," (emphasis in original). See also <u>In re Robertson</u>, 49 USPQ2d 1949, 1951 (Fed. Cir. 1999) ("[t]o establish inherency, the extrinsic evidence 'must make clear that the missing descriptive matter is necessarily present in the thing described in the reference, and that it would be so recognized by persons of ordinary skill,'" citing <u>Continental Can Co. v. Monsanto Co.</u>, 948 F2d 1264, 1268, 20 USPQ2d 1746, 1749 (Fed. Cir. 1991); and "[i]nherency, however, may not be established by probabilities or possibilities. The mere fact that a certain thing may result from a given set of circumstances is not sufficient," <u>Id.</u> at 1269 (citation omitted)).

[3] <i>In re Rijckaert</i>, 9 F.3d 1531, 1534, 28 USPQ2d 1995, 1957 (Fed. Cir. 1993).
[4] <i>In re Robertson</i>, 169 F.3d 743, 745, 49 USPQ2d 1949, 1950-51 (Fed. Cir. 1999).

require or suggest "comparing, <u>at the location which is remote from the image forming</u> <u>apparatus</u>, the received first parameters and second parameters."

MPEP §706.02(j) notes that to establish a *prima facie* case of obviousness, three basic criteria must be met. First, there must be some suggestion or motivation, either in the references themselves or in the knowledge generally available to one of ordinary skill in the art, to modify the reference or to combine reference teachings. Second, there must be a reasonable expectation of success. Finally, the prior art reference (or references when combined) must teach or suggest all the claim limitations. Also, the teaching or suggestion to make the claimed combination and the reasonable expectation of success must both be found in the prior art and not based on applicant's disclosure. *In re Vaeck,* 947 F.2d 488, 20 USPQ2d 1438 (Fed. Cir. 1991). Without addressing the first two prongs of the test of obviousness, Applicants submit that the Official Action does not present a *prima facie* case of obviousness because <u>Hitachi</u> fails to disclose all the features of Applicants' claimed invention.

Accordingly, in view of the present amendment and in light of the previous discussion, Applicants respectfully submit that the present application is in condition for allowance and respectfully solicit an early and favorable action to that effect.

Respectfully submitted,

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